



## PATENTS

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: )  
Greiner, et al. )  
Serial No: 10/826,422 )  
Filed: April 16, 2004 )  
For: System and Method for Energy )  
Price Forecasting Automation )

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

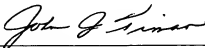
Sir:

Applicant hereby voluntarily discloses the items listed on the attached Form PTO-1449 to the Commissioner for Patents. Copies of items I, J, and AI are enclosed herewith. Pursuant to 37 CFR 1.98(a)(2)(i), copies of A - H, AA - AH, and BA - CA are not enclosed.

Applicant further reserves the right to establish the patentability of the claimed invention over any of the listed information should they be applied as references, and/or to prove that some of the cited information may not be prior art, and/or to prove that some of the cited information may not be enabling for the teachings they purport to offer. This statement further should not be construed as a representation that an exhaustive search has been made, or that the information cited herewith is material, or that there does not exist information more material to the examination of the present Application. The Examiner is specifically requested not to rely solely on the information submitted herein. On the contrary, the Examiner is requested to conduct an independent and thorough review of the information, and to form independent opinions as to their significance.

It is respectfully requested that the Examiner initial and return copies of the enclosed PTO-1449 and to indicate in the official file wrapper of the above-identified patent application that each item of the cited information has been considered.

Date: 7/20/04

  
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Docket No.: S264 1010.1

CERTIFICATE OF MAILING

I hereby certify that this document is being deposited as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 20, 2004,

  
\_\_\_\_\_  
Diana Ogles

Sheet 1 of 4

PTO/SB/08A (08-03) Substitute for Form 1449/PTO		Application Number <b>10/826,422</b>	Filing Date <b>04/16/2004</b>
 <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		First Named Inventor <b>Foster</b>	
		Art Unit <b>3624</b>	
		Examiner Name <b>Unknown</b>	Attorney Docket Number <b>S264 1010.1</b>

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A	5,974,403	<i>Takriti, et al.</i>	
	B	2003/0182250	<i>Shihidehpour, et al.</i>	
	C	2002/0198629	<i>Ellis</i>	
	D	2004/0010478	<i>Peljto, et al.</i>	
	E	2003/0009401	<i>Ellis</i>	
	F	6,021,402	<i>Takriti</i>	
	G	2003/0023466	<i>Harper</i>	
	H	2003/0055677	<i>Brown, et al.</i>	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	I	EP 0 981 053	02/23/2000	Rodilla Sala, Vincente	

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	J	"KWI Business Solutions – The Benefits for an Energy Trader", KWI Energy Trading, <a href="http://www.kwi.com/solutions/energy_trading.htm">www.kwi.com/solutions/energy_trading.htm</a> ; 1/29/2004

EXAMINER'S SIGNATURE:

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Substitute for Form 1449/PTO

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**04/16/2004**

**INFORMATION DISCLOSURE  
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**Foster**

Art Unit  
**3624**

Examiner Name  
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Attorney Docket Number  
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	AA	2004/0006502	<i>Masiello, et al.</i>	
	AB	5,153,837	<i>Shaffer, et al.</i>	
	AC	6,473,744	<i>Tuck, et al.</i>	
	AD	6,567,824	<i>Fox</i>	
	AE	6,609,048	<i>Matsuo, et al.</i>	
	AF	6,618,709	<i>Sneeringer</i>	
	AG	6,681,156	<i>Weiss</i>	
	AH	2003/0036820	<i>Yellepeddy, et al.</i>	

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	AI	Herzog, et al.; "Toward Secure E-Services: Risk Analysis of a Home Automation Service;" Department of Computer and Information Science, Linkopings Universitet; undated

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PTO/SB/08A (08-0) Substitute for Form 1449/PTO 	Application Number <b>10/826,422</b>	Filing Date <b>04/16/2004</b>
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STATEMENT BY APPLICANT**  
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Examiner Initials	Cite No.	Document Number	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	BA	2002/0194145	<i>Boucher, et al.</i>	
	BB	2003/0036990	<i>Sprehe</i>	
	BC	2003/0040847	<i>Tsui</i>	
	BD	2003/0055664	<i>Suri</i>	
	BE	2003/0055676	<i>Huneycutt</i>	
	BF	2003/0074244	<i>Braxton</i>	
	BG	2993/9191123	<i>Alvarado, et al.</i>	
	BH	2003/0139939	<i>Spool, et al.</i>	

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Sheet 4 of 4

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Examiner Initials	Cite No.	Document Number	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	CA	2003/0163224	<i>Klaar, et al.</i>	
	CB			
	CC			
	CD			
	CE			
	CF			
	CG			
	CH			

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/Kelly Camper/

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